

Register of licensed bodies (ABS)

KPMG LLP

Personnel

Head of legal practice

Howard Sharkett (authorised by the Solicitors Regulation Authority)

Head of Finance and Administration

Christopher Hearld

Reserved activities

The firm is licensed to undertake the following reserved legal activities:

- Rights of audience
 - Conduct of litigation
 - Reserved instrument activities
 - Probate activities
 - Administration of oaths
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Terms and conditions of the licence

The general conditions which apply to licensed bodies contained in Rule 8 of the SRA Authorisation Rules for Legal Services Bodies and Licensable Bodies as applicable.

Any obligation which may from time to time be imposed on [The Firm] or a manager, employee, or non-authorised interest-holder in [The Firm] by or under the SRA's licensing rules or by or under the LSA, or any other enactment.

The following additional conditions are imposed on KPMG LLP: Where a solicitor, registered foreign lawyer or registered European lawyer who is a manager, employee or owner of KPMG LLP is engaged in legal activity that is not regulated activity, then he or she should not act for a client where there is a conflict of interest unless the client has given informed consent and appropriate safeguards can be put in place that are consistent with the SRA Principles and maintain the confidentiality of the affairs of that client; and KPMG LLP will inform the SRA as soon as reasonably practicable in the



event of it, or any part of its business: ceasing to be regulated by the Institute of Chartered Accountants in England and Wales (ICAEW); and/or becoming the subject of any regulatory proceedings or sanctions by the ICAEW or any other regulator.

Waiver(s)

The information below is a summary of the waiver(s) granted.

1.

1. The following waivers have been granted to KPMG LLP:

A. A waiver from the SRA Indemnity Insurance Rules insofar as those used prescribed definitions of the following terms:

1. 'participating insurer'
2. 'qualifying insurance'

B. A waiver from Annex 1 to the SRA Indemnity Insurance Rules (the SRA Minimum Terms and Conditions of Professional Indemnity Insurance).

These waivers are subject to the condition that, should there be any material change in the terms and conditions of the insurance that KPMG LLP holds, or a reduction to the level of primary cover, it must immediately inform the SRA and this waiver may be amended or revoked with immediate effect.

2.

3. KPMG LLP has been granted a waiver from:

1. Rules 8.6(a) and 8.7(c) of the SRA Authorisation Rules 2011, and

2. Rule 18.2(c) of the SRA Practice Framework Rules 2011
This waiver is granted subject to the conditions that:
A. This waiver does not extend to the current or future members of the LLP who fall into one or more of the following groups or categories:

3.

4.

- (i) Chairman and Senior Partner
- (ii) Chief Operating Officer
- (iii) Chief Risk Officer
- (iv) Chief Financial Officer
- (v) General Counsel
- (vi) Chair of the Client & Markets Executive Group
- (vii) Chair of the Risk Executive Group
- (viii) Compliance Officer for Legal Practice (COLP)
- (ix) Compliance Officer for Finance and Administration (COFA)
- (x) Coverage Group Leaders for:
 - 1. Financial Services
 - 2. Corporate
 - 3. National Markets
- (xi) Capability Group Leader for Tax, Pensions & Legal (TPL)
- (xii) Performance Group Leaders for:
 - 1. TPL Financial Services
 - 2. TPL Corporate
 - 3. TPL National Markets
- (xiii) Head of TPL Professional Practice Group
- (xiv) TPL Head of Risk
- (xv) Head of Legal Services
- (xvi) Members within the legal services team(s)

2019. This waiver is granted on the basis of the governance structure information provided on 22 May 2019. Any changes to that structure, insofar as they relate to members

or other persons who have influence, control or input in relation to the running or management of KPMG LLP's legal service business, must be notified to the SRA in writing within 14 days of those changes taking place.

1. These waivers are not time limited but are revocable upon reasonable notice.

Licence

Licence number : 615423

Granted on 1 October 2014

Effective from 1 October 2014

Registration details

KPMG LLP

15 Canada Square

London

E14 5GL

Company no. OC301540

Quoted company

KPMG LLP is not a quoted company or a subsidiary of, or part owned by, a quoted company.

Addresses

15 Canada Square

London

E14 5GL

1 Marischal Square

Aberdeen

AB10 1BL

One Snowhill

Snow Hill Queensway

Birmingham
B4 6GH

100 Temple Street
Bristol
BS1 6AG

Fora
20 Station Road
Cambridge

CB1 2JD

3 Assembly Street
Cardiff
CF10 4AX

Saltire Court, 20
Castle Terrace
Edinburgh
EH1 2EG

1st Floor, Global House High Street
Crawley

RH10 1DL

191 West George Street
Glasgow
G2 2LJ

6 Lower Brook Street
Ipswich
IP4 1AP

1 Sovereign Square Sovereign Street
Leeds
LS1 4DA

1 Waterloo Way
Leicester
LE1 6LP

8 Princes Parade
Liverpool
L3 1QH

8 Salisbury Square
London
EC4Y 8BB

64 Great Eastern Street
London
EC2A 3QR

St James Square
Manchester
M2 6DS

Challenge House
Sherwood Drive
Milton Keynes

MK3 6DP

Quayside House 110
Quayside
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NE1 3DX

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2 Tollhouse Hill
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NG1 5FS

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3 Long Bridge Road
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PL6 8LT

Edward VII Quay
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RG7 4SD

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WD17 1DE

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Milton Keynes

MK9 1FD

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Plymouth

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RG1 3AD