

Notify us of the financial services your firm provides

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- Get ready [#7503]
- How to tell us [#7787]
- How to pay [#tab_6af93]
- What happens next [#7003]

What is this notification for?

You need to tell us about the financial services your firm provides. Your firm's details will be added to the Financial Conduct Authority (FCA) Exempt Professional Firms Register [<http://register.fca.org.uk/>] .

You need to read our rules [<https://www.sra.org.uk/solicitors/resources/financial-services/>] to decide if the financial services being carried out also need additional FCA regulation.

Notify us about financial activities

You must confirm the financial activities your firm undertakes.

Notify us about a change to your insurance distribution officer

If you carry on insurance distribution activities, you need to tell us who your insurance distribution officer is.

Help with your notification

Contact us [<https://www.sra.org.uk/home/contact-us/>] if you have any queries before you submit your notification.

How to tell us

Notify us of Financial Services Activities

Log in to mySRA to notify us. Go to new applications.

[<https://www.sra.org.uk/link/93f017776e78490eac32edeb8f5a63e0.aspx>]

There is no fee for this notification.

We will let you know if your notification is incomplete or ineligible.

We aim to process notifications within 30 days.