

# SRA Practice Framework (Amendment) Rules 2012

Rules dated 30 May 2012

made by the Solicitors Regulation Authority Board under sections 31, 79 and 80 of the Solicitors Act 1974 and sections 9 and 9A of the Administration of Justice Act 1985 and section 83 and Schedule 11 to the Legal Services Act 2007,

with the approval of the Legal Services Board under paragraph 19 of Schedule 4 to the Legal Services Act 2007.

Rule 1            The SRA Practice Framework Rules 2011 shall be amended in accordance with the Schedule.

Rule 2            These amendment rules shall come into force on 21 June 2012.

## Schedule

### SRA Practice Framework Rules 2011

#### Rule 4: In-house practice

4.1     If you are a solicitor, REL or RFL conducting ~~employed~~ in-house practice:

(a)     you must not act for **clients** other than your **employer** except in the following circumstances in 4.4 to 4.26 (all of which are subject to 4.1(b) and 4.2) and where you are able to act without compromising the **Principles** or your obligations under the **SRA Code of Conduct**;

(b)     nothing in this rule permits any person to conduct reserved legal activities in circumstances where to do so would require authorisation under the LSA and you must satisfy yourself that any such authorisation is in place before conducting any such activity.

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4.12    If you are employed by an association you may act for a member of that association provided:

(a)     ~~you do not undertake any reserved legal activities;~~

- (b)(a) the membership of the association is limited to **persons** engaged or concerned in a particular trade, occupation or specialist activity or otherwise having a community of interest, such interest being a specialist interest;
- (e)(b) the association is one formed bona fide for the benefit of its members and not formed directly or indirectly for your benefit or primarily for securing assistance in legal proceedings;
- (d)(c) there is no charge to the member in non-contentious matters, and in contentious matters the association indemnifies the member in relation to your costs and disbursements insofar as they are not recoverable from any other source; and
- (e)(d) you act only in matters that relate to or arise out of the particular trade, occupation or specialist activity of the association or otherwise relate to the specialist community of interest, for which the association is formed.

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Rule 22: Transitional provisions and grace period

22.7 Until the 180th day after the date on which the **Society** is designated as a **licensing authority** under Part 1 of Schedule 10 to the **LSA**:

- (a) ~~Rule 4.12(a) shall have no effect~~[Deleted];
- (b) Rule 4.13 (insurers) shall have no effect;
- (c) Rule 13.06 (insurers) of the Solicitors' Code of Conduct 2007 shall continue to have effect; and
- (d) references to Rule 4.13 shall be treated as references to Rule 13.06 of the Solicitors' Code of Conduct 2007.