

# Application for a waiver



Use this form to apply for a waiver of any of our rules and regulations that are capable of being waived.

We may grant a waiver when we are satisfied that, in the Applicant's specific circumstances, a waiver is compatible with the regulatory objectives in section 1 of the Legal Services Act 2007. These are:

- a) protecting and promoting the public interest
- b) supporting the constitutional principle of the rule of law
- c) improving access to justice
- d) protecting and promoting the interests of consumers
- e) promoting competition in the provision of services within subsection (2)
- f) encouraging an independent, strong, diverse and effective legal profession
- g) increasing public understanding of the citizen's legal rights and duties
- h) promoting and maintaining adherence to the professional principles.

We will generally publish on our website a summary of all waiver decisions we make, including the reasons and any conditions.

## Section 1 - Your details

1.1 Applicant name

SRA number

1.2 Applicant status

Solicitor, REL or RFL

Other regulated person

Other lawyer

Non-regulated person

If **Other** provide your role, title or position

1.3 Firm name (if applicable)

SRA number

## Section 2 - Waiver details

2.1 Set out the relevant requirement(s) in our Standards and Regulations that your application for a waiver relates to

2.2 Explain the purpose of your application for a waiver and the outcome you would like to achieve

2.3 Explain why you consider that granting the application will be compatible with the regulatory objectives in Section 1 of the Legal Services Act 2007

2.4 List any documents you are providing in support of this application

2.5 We will publish our waiver decisions, including those where we have refused your application. If you have good grounds for us to either withhold publication or to publish the waiver without disclosing the identity of you or your firm or avoiding certain key details, explain below

If we propose to publish this information about the waiver, we will give you appropriate notice of our intention to do so

### Section 3 - Declaration

If you knowingly or recklessly give false or misleading information, or fail to inform us of significant information we may reject the notification and/or take disciplinary action.

You should not assume that information is known to us because it is in the public domain, or has previously been disclosed to us or another regulatory body.

In making this declaration on behalf of the firm, you confirm that:

3.1 I confirm that I have read the SRA's guidance on granting waivers

3.2 I confirm I have the authority to make this application and declarations on behalf of the Applicant

3.3 I confirm that the information in the application is correct and complete

3.4 I confirm I will notify you as soon as any information provided in this application changes

3.5 I authorise the SRA to make such enquiries and seek such further information as it considers appropriate as part of considering and/or verifying information about this application

3.6 Forename(s)  Surname

Date of birth  SRA no

Role  Email

Signed  Date

If completed electronically, tick to say that you confirm the declaration

## **Section 4 - Returning the form**

To help us process your application, check that you have provided all of the information needed. We cannot accept incomplete applications.

Once complete, send your form by email to

## **Section 5 - What we will do with your data**

**Privacy notice**