

SRA Regulatory Arrangements (Amendment) Rules 2021

Rules made by the SRA Board on 1 September 2021

Made under sections 2, 13, 28, 31, 36 and 36A of the Solicitors Act 1974, section 9 of, and paragraph 6 of Schedule 2 to, the Administration of Justice Act 1985, section 83 of, paragraph 19 of Schedule 11 and paragraphs 2 and 3 of Schedule 14 to, the Legal Services Act 2007 and the Legal Services Act 2007 (The Law Society and the Council of Licensed Conveyancers) (Modification of Functions) Order 2011

Rule 1

Annex 1 to the SRA Application, Notice, Review and Appeal Rules shall be amended as follows:

- (a) after “As set out in the SRA Authorisation of Individuals Regulations:” insert:
- “1. A decision made under regulation 1.1(b) not to be satisfied that an individual holds a *degree* or qualifications or experience which are equivalent to a *degree*.
 2. A decision made under regulation 3.1(a) not to be satisfied that an individual holds a legal professional qualification that is recognised by the SRA, which confers rights to practise in England and Wales or in an *overseas* jurisdiction.
 3. A decision made under regulation 3.1(b) not to be satisfied that an individual holds a *degree* or qualifications or experience which are equivalent to a *degree*.
 4. A decision made under regulation 3.2 to refuse to grant an individual an exemption from all or part of the assessment under regulation 1.1(a).”;
- (b) in the section under the heading “As set out in the SRA Authorisation of Individuals Regulations”, renumber paragraphs 1 to 17 as paragraphs 5 to 21; and
- (c) in paragraph 1 of the section under the heading “Miscellaneous”, delete “rule 19.1 of” and after “SRA Compensation Fund Rules” insert “2019 or the SRA Compensation Fund Rules 2021”

Rule 2

In the SRA Glossary, delete the definition of “separate business” and replace with:

“**separate business** means, where you are an authorised body or own, manage or are employed by an authorised body, a separate business:

- (a) which you own;
- (b) which you are owned by;
- (c) where you actively participate in the provision of its services, including where you have any direct control over the business or any indirect control over the business through another person, or
- (d) which you are connected with,

and which is not an authorised body, an authorised non-SRA firm, or an overseas practice”

Rule 3

These rules come into force on 1 November 2021 or the date on which they are approved by the Legal Services Board, whichever is the later.