

Nigel Christopher Brothers Solicitor 122826

Control of practice Date: 21 February 2025

Decision - Control of practice

Outcome: Condition

Outcome date: 21 February 2025

Published date: 25 March 2025

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: N C Brothers & Co

Address(es): 47 London Street, Reading, RG1 4PS, England

Firm ID: 350463

Firm or organisation at date of publication

Name: VAS Solicitors Limited

Address(es): 156 London Road, Mitcham, CR4 3LD, England

Firm ID: 639304

Outcome details

This outcome was reached by SRA decision.

Decision details

Mr Brothers's practising certificate for 2024 / 2025 is subject to the following conditions:

- 1. Mr Brothers is not a manager or owner of any authorised body.
- 2. Mr Brothers may not act as a compliance officer for legal practice (COLP) or a compliance officer for finance and administration (COFA) for any authorised body.
- 3. Mr Brothers does not hold or receive client money, or act as a signatory to any client or office account or have the power to authorise transfers from any client or office account. With the



exception of allowing him to assist in the disposal of funds held in his former practice of N C Brothers & Co (SRA number 350463).

4. Mr Brothers may not practise on his own account under Regulation 10.2(a) or (b) of the SRA Authorisation of Individuals Regulations.

In these conditions the terms are as defined in the SRA Glossary.

Reasons/basis

The above conditions are necessary in the public interest. They are reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

Control of practice Date: 15 April 2024

Decision - Control of practice

Outcome: Condition

Outcome date: 15 April 2024

Published date: 20 May 2024

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: N C Brothers & Co

Address(es): 47 London Street, Reading RG1 4PS

Firm ID: 350463

Outcome details

This outcome was reached by SRA decision.

Decision details

Mr Brothers' practising certificate for 2023/2024 is subject to the following conditions:

- 1. Mr Brothers is not a manager or owner of any authorised body.
- 2. Mr Brothers may not act as a compliance officer for legal practice (COLP) or a compliance officer for finance and administration (COFA)



for any authorised body.

- 3. Mr Brothers does not hold or receive client money, or act as a signatory to any client or office account or have the power to authorise transfers from any client or office account. With the exception of allowing him to assist in the disposal of funds held in his former practice of N C Brothers & Co (SRA number 350463).
- 4. Mr Brothers may not practise on his own account under Regulation 10.2(a) or (b) of the SRA Authorisation of Individuals Regulations.

In these conditions the terms are as defined in the SRA Glossary.

Reasons/basis

The above conditions are necessary in the public interest. They are reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

Prosecution Date: 5 December 2022

Decision - Prosecution

Outcome: Solicitors Disciplinary Tribunal order

Outcome date: 5 December 2022

Published date: 8 December 2022

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: N C Brothers & Co

Address(es): 47 London Street, Reading RG1 4PS

Firm ID: 350463

Outcome details

This outcome was reached by SRA decision.

Reasons/basis

Outcome of SDT Hearing

This notification relates to a Decision to prosecute before the Solicitors Disciplinary Tribunal. This is an independent Tribunal which reaches its



own decision after considering all the evidence, including any evidence put forward by the Respondent. The Tribunal had certified that there was a case to answer.

On 5 December 2022 the SDT considered and approved an Agreed Outcome.

The Tribunal ordered that the Respondent;

- Pay a fine of £15,000
- Shall be subject to conditions imposed by the Tribunal as follows:

The Respondent may not:

- i. practise as a sole practitioner or sole manager or sole owner of an authorised or recognised body
- ii. be a partner or member of a Limited Liability Partnership (LLP), Legal Disciplinary Practice (LDP) or Alternative Business Structure (ABS) or other authorised or recognised body
- iii. be a Compliance Officer for Legal Practice or a Compliance Officer for Finance and Administration
- iv. hold client money apart from the disposal of funds held in the client account of his former practice
- v. be a signatory on any client account except to deal with the funds held in the client account of his former practice

The SDT judgment will be available at <u>www.solicitorstribunal.org.uk</u> [http://www.solicitorstribunal.org.uk]. Search again [https://www.sra.org.uk/consumers/solicitor-check/]