

**Monk and Turner Solicitors LLP**  
**80a Ashfield Street, Unit 2, London , E1 2BJ**  
**Recognised body**  
**499566**

[Fined Date: 14 January 2025](#)

## **Decision - Fined**

Outcome: Fine

Outcome date: 14 January 2025

Published date: 4 February 2025

## **Firm details**

### **Firm or organisation at date of publication and at time of matters giving rise to outcome**

Name: Monk and Turner Solicitors LLP

Address(es): 80a Ashfield Street, Unit 2, London, E1 2BJ

Firm ID: 499566

## **Outcome details**

This outcome was reached by SRA decision.

### **Decision details**

Monk and Turner Solicitors LLP was directed to pay a fixed financial penalty of £1,500 and costs of £150.

### **Reasons/basis**

Monk and Turner Solicitors LLP is a recognised body whose office is at 80a Ashfield Street, Unit 2, London, E1 2BJ.

Firms must at all times have in place a compliance officer for legal practice (COLP) and a compliance officer for finance and administration (COFA).

A firm must designate a person as its COLP and a person as its COFA and the SRA must approve that person before they commence their role.

The COLP and COFA of a firm have specific responsibility for ensuring a firm, its managers and employees comply with the SRA's regulatory requirements. They are also responsible for recording any breaches and reporting those to the SRA, where necessary.

A firm must promptly notify the SRA if it becomes aware of any material changes to information previously provided to the SRA, by it or on its behalf, including to its compliance officers.

The firm failed to:

- promptly notify the SRA of a material change to the information it had previously provided to the SRA about its COLP and
- promptly notify the SRA of a material change to the information it had previously provided to the SRA about its COFA

in breach of paragraph 3.8(a) of the Code of Conduct for Firms.

The firm continued to breach this requirement after the SRA directed it to pay a fixed financial penalty of £750.

The firm was directed to pay a fixed financial penalty of £1500 and costs of £150.

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