

# **David Anthony Berens**

## **Solicitor**

### **138825**

**[Control of practice Date: 3 May 2024](#)**

## **Decision - Control of practice**

Outcome: Condition

Outcome date: 3 May 2024

Published date: 24 June 2024

## **Firm details**

### **Firm or organisation at time of matters giving rise to outcome**

Name: Fuglers (in association with David Berens & Co)

Address(es): 70 Charlotte Street, London, W1T 4QG

Firm ID: 60342

### **Firm or organisation at date of publication**

Name: Protopapas LLP

Address(es): Queens House, 180 Tottenham Court Road, London, W1T 7PD

Firm ID: 649883

## **Outcome details**

This outcome was reached by SRA decision.

### **Decision details**

David Berens' practising certificate for 2023/2024 is subject to the following conditions:

1. Mr Berens shall not act as a manager or owner of any authorised body.
2. Mr Berens may not act as a Compliance Officer for Legal Practice (COLP) or Compliance Officer for Finance and Administration (COFA) for any authorised body, or Head of Legal Practice (HOLP) or Head



of Finance and Administration (HOFA) in any authorised non-SRA firm.

3. Mr Berens does not hold, receive, or have access to client money, or does not act as a signatory to any client or office account, or have the power to authorise electronic transfers from any client or office account.

In these conditions the terms are as defined in the SRA Glossary.

#### **Reasons/basis**

The above conditions are necessary in the public interest. They are reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

**Prosecution Date: 9 March 2023**

### **Decision - Prosecution**

Outcome: Solicitors Disciplinary Tribunal order

Outcome date: 9 March 2023

Published date: 15 March 2023

### **Firm details**

#### **Firm or organisation at time of matters giving rise to outcome**

Name: Fuglers (In association with David Berens & Co) LLP

Address(es): 70 Charlotte Street, London NW1T 4QG

Firm ID: 441833

#### **Firm or organisation at date of publication**

Name: Protopapas LLP

Address(es): Queens House, 180 Tottenham Court Road, London NW1T 7PD

Firm ID: 649883

### **Outcome details**

This outcome was reached by SRA decision.

**Reasons/basis**

This notification relates to a Decision to prosecute before the Solicitors Disciplinary Tribunal. This is an independent Tribunal which reaches its own decision after considering all the evidence, including any evidence put forward by the Respondent. The Tribunal had certified that there was a case to answer.

On 9 March 2023 the SDT considered and approved an Agreed Outcome.

Mr Berens was fined £7,501 and was made subject to the following restrictions on his practice for an indefinite period:

- Mr Berens shall not act as a manager or owner of any authorised body.
- Mr Berens may not act as a Compliance Officer for Legal Practice (COLP) or Compliance Officer for Finance and Administration (COFA) for any authorised body, or Head of Legal Practice (HOLP) or Head of Finance and Administration (HOFA) in any authorised non-SRA firm.
- Mr Berens does not hold, receive, or have access to client money, or does not act as a signatory to any client or office account, or have the power to authorise electronic transfers from any client or office account.

The SDT judgment will be available at [www.solicitorstribunal.org.uk](http://www.solicitorstribunal.org.uk)  
[\[http://www.solicitorstribunal.org.uk/\]](http://www.solicitorstribunal.org.uk/)  
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