

## **Register of licensed bodies (ABS)**

### **PricewaterhouseCoopers LLP**

(Trading as PwC)

#### **Personnel**

##### **Head of legal practice**

Mark Whitehouse (authorised by the Solicitors Regulation Authority)

##### **Head of Finance and Administration**

David Anderson

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#### **Reserved activities**

The firm is licensed to undertake the following reserved legal activities:

- Rights of audience
  - Conduct of litigation
  - Reserved instrument activities
  - Probate activities
  - Administration of oaths
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#### **Terms and conditions of the licence**

The general conditions which apply to licensed bodies contained in Rule 8 of the SRA Authorisation Rules for Legal Services Bodies and Licensable Bodies as applicable.

Any obligation which may from time to time be imposed on [The Firm] or a manager, employee, or non-authorised interest-holder in [The Firm] by or under the SRA's licensing rules or by or under the LSA, or any other enactment.

The following additional conditions are imposed on the Licensed Body: Where a solicitor, registered foreign lawyer or registered European lawyer who is a manager, employee or owner of PricewaterhouseCoopers LLP is engaged in legal activity that is not regulated activity, then he or she should not act for a client where there is a conflict of interest unless: the client has given informed consent; and appropriate safeguards can be put in place that are consistent with the SRA Principles and maintain the confidentiality of the affairs of that client. PricewaterhouseCoopers LLP



will inform the SRA as soon as reasonably practicable in the event of it, or any part of its business: ceasing to be regulated by The Institute of Chartered Accountants in England and Wales (ICAEW); and/or becoming the subject of any regulatory proceedings or sanctions by ICAEW or any other regulator.

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## **Waiver(s)**

The information below is a summary of the waiver(s) granted.

1. The following waivers have been granted to PricewaterhouseCoopers LLP:
  1. PricewaterhouseCoopers LLP (PwC) has been granted a waiver from Rule 16.2(b) of the SRA Practice Framework Rules 2011 and Rule 8.6(a) of the SRA Authorisation Rules 2011 insofar as any required approval of a manager must be obtained in advance of their appointment.
  2. This waiver is subject to the following conditions:
    - 2.1  
Any manager that requires approval under Rule 8.6(a) and Part 4 of the SRA Authorisation Rules 2011, and has not been granted a waiver from requiring any such approval, will still be expected to obtain SRA approval.
    - 2.2  
Under the terms of this waiver, that approval will not need to be applied for, or obtained, in advance of the appointment of the manager taking place.
    - 2.3  
Failure to obtain approval in advance of the appointment will only be permitted under this waiver where it is not possible to identify the manager suitably in advance of their appointment to obtain approval first.
    - 2.4  
PwC will be expected to apply for approval of a new manager appointed under this waiver within 30 days of their appointment.
    - 2.5



Where a manager is appointed prior to approval under this waiver, it does not fetter the ability of the SRA to refuse approval if they are not satisfied that the person is a fit and proper person under the SRA Suitability Test 2011. Where a manager is so refused, subject to any right of appeal, PwC will be expected to make the necessary arrangements to remove that person or their role from the categories of managers that require approval. Those categories will be those identified in the associated waiver as being responsible for the day-to-day running of PwC as a whole and its SRA regulated legal services business.

3. The above waiver is granted unlimited as to time, but is revocable on reasonable notice.
  4. The waiver is granted on the basis that this decision is without prejudice to any future decision on this or any related matter.
2. The following waivers have been granted to PricewaterhouseCoopers LLP:

1. A waiver from:

- Rules 8.6(a) and 8.7(c) of the SRA Authorisation Rules 2011; and
- Rule 18.2(c) of the SRA Practice Framework Rules 2011

as they apply to the current and future members of PricewaterhouseCoopers LLP who are not involved in the day-to-day management or compliance of PricewaterhouseCoopers LLP and/or its legal services business.

This waiver is granted subject to the conditions that:

- 1.1

this waiver does not extend to the current or future members of the LLP who fall into one or more of the following groups:

- the Executive Board
- the Market Initiatives & Industries leader
- the Head of Tax
- the Tax Chief Operating Officer
- the Legal Services Markets Leader
- the members who are authorised persons responsible for supervising and directing SRA regulated services



- the Compliance Officer for Legal Practice (COLP)
- the Compliance Officer for Finance and Administration (COFA)
- 1.2

this waiver is granted on the basis of the governance documents and reporting lines provided to the SRA as part of PricewaterhouseCoopers LLP's application to become a licensed body. Any changes to that governance, insofar as it relates to members or other persons who have influence, control or input in relation to the running or management of PricewaterhouseCoopers LLP or PricewaterhouseCoopers LLP 's legal services business, must be notified to the SRA in writing within 14 days of those changes taking place.

2. A waiver from the requirements of Outcome 7.10(b) to Chapter 7 of the SRA Code of Conduct 2011.

The above waivers are granted on the basis that they are without prejudice to any future decision on this or any related matter.

Further, these waivers are not time limited but are revocable upon reasonable notice.

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3. The following waivers have been granted to PricewaterhouseCoopers LLP:

A. A waiver from the SRA Indemnity Insurance Rules insofar as those used prescribed definitions of the following terms:

- 'participating insurer'
- 'qualifying insurance'

B. A waiver from Annex 1 to the SRA Indemnity Insurance Rules (the SRA Minimum Terms and Conditions of Professional Indemnity Insurance).

These waivers are subject to the condition that, should there be any material change in the terms and conditions of the insurance that PricewaterhouseCoopers LLP holds, or a reduction to the level of primary cover, it must immediately inform the SRA and this waiver may be amended or revoked with immediate effect.

## Licence

Licence number : 629994

Granted on 15 August 2016

Effective from 1 October 2016

## **Registration details**

PricewaterhouseCoopers LLP

1 Embankment Place  
London  
WC2N 6RH  
Company no. OC303525

## **Quoted company**

PricewaterhouseCoopers LLP is not a quoted company or a subsidiary of, or part owned by, a quoted company.

## **Trading name**

PwC

## **Addresses**

1 Embankment Place  
London  
WC2N 6RH

Cornwall Court  
19 Cornwall Street  
Birmingham  
B3 2DT

Glass Wharf  
Bristol  
BS2 0FR

Abacus House  
Castle Park  
Cambridge  
CB3 0AN

One Kingsway  
Cardiff  
CF10 3PW

Donington Court, Pegasus Business Park, Castle Donington  
Herald Way  
Derby, East Midlands  
DE74 2UZ

The Portland Building, 25  
High Street  
Crawley, West Sussex  
RH10 1BG

2 Humber Quays Wellington Street West  
Hull  
HU1 2BN

Benson House, 33  
Wellington Street  
Leeds  
LS1 4JP

Princes Parade  
St Nicholas Place  
Liverpool  
L3 1QJ

7 More London Riverside  
London  
SE1 2RT

10-18 Union Street  
London  
SE1 1SZ

Hay's Galleria, 1  
Hay's Lane  
London  
SE1 2RD

101 Barbirolli Square Lower Mosley Street  
Manchester  
M2 3PW

Exchange House, Central Business Exchange  
Midsummer Boulevard  
Milton Keynes  
MK9 2DF

Central Square South  
Orchard Street  
Newcastle-upon-Tyne  
NE1 3AZ

3 St James Court  
Whitefriars, Norwich  
NR3 1RJ

Princess Court, 23  
Princess Street  
Plymouth  
PL1 2EX

3 Forbury Place, 23 Forbury Road  
Reading, Berkshire  
RG1 3JH

St. Paul's Place, 121  
Norfolk Street  
Sheffield  
S1 2LE

Oceana House, 39-49  
Commercial Road  
Southampton  
SO15 1GA

Marlborough Court, 10  
Bricket Road  
St Albans, Herts  
AL1 3JX

Institute of Life Science 1, Swansea University  
Singleton Park  
Swansea  
SA2 8PP

The Atrium, 1  
Harefield Road  
Uxbridge, Middlesex  
UB8 1EX

32 Albyn Place  
Aberdeen  
AB10 1YL

Atria One, 144  
Morrison Street  
Edinburgh  
EH3 8EX

141 Bothwell Street  
Glasgow  
G2 7EQ

Waterfront Plaza, 8  
Laganbank Road

Belfast  
BT1 3LR

32 Linen Green  
Dungannon, County Tyrone  
BT71 7HB