

Tuckers Solicitors LLP (Robin Murray & Co Solicitors (practising style for Tuckers Solicitors LLP))

39 Warren Street, London , W1T 6AF

Recognised body

592449

[Fined Date: 18 January 2024](#)

Decision - Fined

Outcome: Fine

Outcome date: 18 January 2024

Published date: 5 March 2024

Firm details

Firm or organisation at date of publication and at time of matters giving rise to outcome

Name: Tuckers Solicitors LLP

Address(es): 39 Warren Street, London, W1T 6AF

Firm ID: 592449

Outcome details

This outcome was reached by SRA decision.

Decision details

Tuckers Solicitors LLP was directed to pay a fixed financial penalty of £750 and costs of £150.

Reasons/basis

Tuckers Solicitors LLP is a recognised body whose office is at, 39 Warren Street, London, W1T 6AF.

Firms must at all times have in place a compliance officer for legal practice (COLP) and a compliance officer for finance and administration (COFA).

A firm must designate a person as its COLP and a person as its COFA and the SRA must approve that person before they commence their role.

The COLP and COFA of a firm have specific responsibility for ensuring a firm, its managers and employees comply with the SRA's regulatory requirements. They are also responsible for recording any breaches and reporting those to the SRA, where necessary.

A firm must promptly notify the SRA if it becomes aware of any material changes to information previously provided to the SRA, by it or on its behalf, including to its compliance officers.

Tuckers Solicitors LLP failed to:

- promptly notify the SRA of a material change to the information it had previously provided to the SRA about its COFA in breach of paragraph 3.8(a) of the Code of Conduct for Firms.

The firm failed to remedy this breach after being given notice and reasonable time in which to do so.

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