



# **John Rothesay Mackenzie**

## **Solicitor**

### **104132**

**[Control of practice Date: 19 April 2024](#)**

## **Decision - Control of practice**

Outcome: Condition

Outcome date: 19 April 2024

Published date: 16 September 2024

## **Firm details**

### **Firm or organisation at time of matters giving rise to outcome**

Name: Redferns

Address(es): Lupins Business Centre, 1-3 Greenhill, Weymouth, DT4 7SS

Firm ID: 62111

### **Firm or organisation at date of publication**

Name: Mustoe Shorter Solicitors & Advocates

Address(es): 7-8 Frederick Place, Weymouth, DT4 8HQ

Firm ID: 302589

## **Outcome details**

This outcome was reached by SRA decision.

## **Decision details**

Mr Mackenzie's practising certificate for 2023/2024 is subject to the following conditions:

1. Mr Mackenzie may act as a solicitor only as an employee and only where that employment has first been approved by the SRA.
2. Mr Mackenzie may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body or authorised non-SRA firm.
3. Mr Mackenzie does not hold, receive or have access to client money, or act as a signatory to any client or office account, or have the



power to authorise electronic transfers from any office or client account.

In these conditions the terms are as defined in the SRA Glossary.

#### **Reasons/basis**

The above conditions are necessary in the public interest. They are reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles.

**Control of practice Date: 16 March 2023**

### **Decision - Control of practice**

Outcome: Condition

Outcome date: 16 March 2023

Published date: 18 April 2023

#### **Firm details**

##### **Firm or organisation at time of matters giving rise to outcome**

Name: Redferns

Address(es): Lupins Business Centre, 1-3 Greenhill, WEYMOUTH, DT4 7SS

Firm ID: 62111

##### **Firm or organisation at date of publication**

Name: MUSTOE SHORTER SOLICITORS & ADVOCATES

Address(es): 7-8 Frederick Place, WEYMOUTH, DT4 8HQ

Firm ID: 302589

#### **Outcome details**

This outcome was reached by SRA decision.

#### **Decision details**

Mr John Mackenzie's practising certificate for 2022/2023 has been granted subject to the following conditions:



1. Mr Mackenzie may act as a solicitor only as an employee whose role has first been approved by the Solicitors Regulation Authority.
2. Mr Mackenzie may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body or authorised non-SRA firm.
3. Mr Mackenzie does not hold, receive or have access to client money, or act as a signatory to any client or office account, or have the power to authorise electronic transfers from any office or client account.
4. Mr Mackenzie shall immediately inform any actual or prospective employer of these conditions and the reasons for their imposition.

In these conditions the terms are as defined in the SRA Glossary.

**Reasons/basis**

The above conditions are necessary in the public interest and reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

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