

**James Rock**  
**Employee**  
**669984**

[Employee-related decision Date: 18 May 2021](#)

**Decision - Employee-related decision**

Outcome: Control of non-qualified staff (Section 43 / Section 99 order)

Outcome date: 18 May 2021

Published date: 13 May 2021

**Firm details**

No detail provided:

**Outcome details**

This outcome was reached by SRA decision.

**Decision details**

In the matter of: James Rock

A person who is or was involved in a legal practice but is not a solicitor

**Reasons/basis**

Mr Rock, who is not a solicitor, was involved in a legal practice and has occasioned or been a party to an act or default which involved such conduct on his part that it is undesirable for him to be involved in a legal practice in any of the ways described in the order below without prior permission.

**ORDER**

To make an order pursuant to section 43 that with effect from the date of the letter or email notifying Mr Rock of this decision:

- i. no solicitor shall employ or remunerate him in connection with his/her practice as a solicitor;
- ii. no employee of a solicitor shall employ or remunerate him in connection with the solicitor's practice;
- iii. no recognised body shall employ or remunerate him;

- iv. no manager or employee of a recognised body shall employ or remunerate him in connection with the business of that body;
- v. no recognised body or manager or employee of such a body shall permit him to be a manager of the body; and
- vi. no recognised body or manager or employee of such a body shall permit him to have an interest in the body

except in accordance with the SRA's permission.

**Other information**

Mr Rock was notified of this decision on 24 March 2021.

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