



James Rock
Employee
669984

[Employee-related decision Date: 18 May 2021](#)

Decision - Employee-related decision

Outcome: Control of non-qualified staff (Section 43 / Section 99 order)

Outcome date: 18 May 2021

Published date: 13 May 2021

Firm details

No detail provided:

Outcome details

This outcome was reached by SRA decision.

Decision details

In the matter of: James Rock

A person who is or was involved in a legal practice but is not a solicitor

Reasons/basis

Mr Rock, who is not a solicitor, was involved in a legal practice and has occasioned or been a party to an act or default which involved such conduct on his part that it is undesirable for him to be involved in a legal practice in any of the ways described in the order below without prior permission.

ORDER

To make an order pursuant to section 43 that with effect from the date of the letter or email notifying Mr Rock of this decision:

- i. no solicitor shall employ or remunerate him in connection with his/her practice as a solicitor;
- ii. no employee of a solicitor shall employ or remunerate him in connection with the solicitor's practice;
- iii. no recognised body shall employ or remunerate him;

- iv. no manager or employee of a recognised body shall employ or remunerate him in connection with the business of that body;
- v. no recognised body or manager or employee of such a body shall permit him to be a manager of the body; and
- vi. no recognised body or manager or employee of such a body shall permit him to have an interest in the body

except in accordance with the SRA's permission.

Other information

Mr Rock was notified of this decision on 24 March 2021.

[Search again \[https://www.sra.org.uk/consumers/solicitor-check/\]](https://www.sra.org.uk/consumers/solicitor-check/)