

Asghar Ali Solicitor 225018

Control of practice Date: 15 March 2024

Decision - Control of practice

Outcome: Condition

Outcome date: 15 March 2024

Published date: 3 May 2024

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: AA Solicitors Ltd

Address(es): 195 St Helens Road, Bolton, BL3 3PY

Firm ID: 573705

Outcome details

This outcome was reached by SRA decision.

Decision details

Asghar Ali's practising certificate for 2023/ 2024 is subject to the following conditions:

- 1. Mr Ali may not act as a manager or owner of any authorised body or authorised non-SRA firm.
- 2. Subject to the condition above, Mr Ali may act as a solicitor only as an employee within an authorised body, where the role has first been approved by us.
- 3. Mr Ali may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body, or Head of Legal Practice (HOLP) or head of finance and administration (HOFA) in any authorised non-SRA firm.
- 4. Mr Ali shall not provide legal services as a freelance solicitor offering reserved and unreserved services on his own account under regulations 10.2(a) or 10.2(b) of the SRA Authorisation of Individuals Regulations.
- 5. Mr Ali does not hold, receive or have responsibility for any client money, or act as a signatory to any client or office account, or have



the power to authorise electronic transfers from any client or office account.

Reasons/basis

The above conditions are necessary in the public interest. They are reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

Control of practice Date: 12 March 2023

Decision - Control of practice

Outcome: Condition

Outcome date: 12 March 2023

Published date: 11 April 2023

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: AA Solicitors Ltd

Address(es): 195 St Helens Road BOLTON BL3 3PY

Firm ID: 573705

Outcome details

This outcome was reached by SRA decision.

Decision details

We publish regulatory decisions when we consider it to be in the public interest. I am satisfied that, in accordance with our guidance on publishing regulatory and disciplinary decisions, to maintain confidence in the regulatory system and to demonstrate transparency in our decision-making process, this decision should be published. I am satisfied that none of the factors that would make publication inappropriate apply.

Asghar Ali's practising certificate for 2022 / 2023 is subject to the following conditions:

1. Mr Ali may not act as a manager or owner of any authorised body or authorised non-SRA firm.

- 2. Subject to the condition above, Mr Ali may act as a solicitor only as an employee within an authorised body, where the role has first been approved by us.
- 3. Mr Ali may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body, or Head of Legal Practice (HOLP) or head of finance and administration (HOFA) in any authorised non-SRA firm.
- 4. Mr Ali shall not provide legal services as a freelance solicitor offering reserved and unreserved services on his own account under regulations 10.2(a) or 10.2(b) of the SRA Authorisation of Individuals Regulations.
- 5. Mr Ali does not hold, receive or have responsibility for any client money, or act as a signatory to any client or office account, or have the power to authorise electronic transfers from any client or office account.

In these conditions the terms are as defined in the SRA Glossary.

Reasons/basis

The above conditions are necessary in the public interest. They are reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

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