

Index of SRA Standards and Regulations

[<https://www.sra.org.uk/link/8b45a03d354f4f5bbc5eae05294920e1.aspx>]

The index will help you find where various themes occur across the Standards and Regulations.

Standards and Regulations resources

[<https://www.sra.org.uk/link/1190333be3db41af90fe7a061d05401a.aspx>]

Our shorter, simpler Standards and Regulations focus on high professional standards and protecting the public. Learn more

Archive: SRA Handbook

[<https://www.sra.org.uk/link/5b083e33addf4b12abb1c24d146f156d.aspx>]

The SRA Handbook was replaced by the SRA Standards and Regulations on 25 November 2019.

Action:

Principles [<https://www.sra.org.uk/solicitors/standards-regulations/principles/>]

The fundamental tenets of ethical behaviour that we, the SRA, expect of those that we regulate.

Last updated: 25 November 2019

Code of Conduct for Solicitors, RELs and RFLs

[<https://www.sra.org.uk/solicitors/standards-regulations/code-conduct-solicitors/>]

The standards that we, the SRA, and the public expect of individuals (solicitors, registered European lawyers and registered foreign lawyers).

Last updated: 1 December 2023

Code of Conduct for Firms

[<https://www.sra.org.uk/solicitors/standards-regulations/code-conduct-firms/>]

The standards and business controls that we, the SRA, and the public expect of firms (including sole practices).

Last updated: 6 April 2023

Accounts Rules

[<https://www.sra.org.uk/solicitors/standards-regulations/accounts-rules/>]

Our requirements for when firms (including sole practices) authorised by us receive or deal with money belonging to clients.

Last updated: 25 November 2019

Application, Notice, Review and Appeal Rules

[<https://www.sra.org.uk/solicitors/standards-regulations/application-notice-review-appeal-rules/>]

Our processes for handling applications made to us and the process for internal reviews and external appeals against our decisions.

Last updated: 30 May 2023

Assessment of Character and Suitability Rules

[<https://www.sra.org.uk/solicitors/standards-regulations/assessment-character-suitability-rules/>]

Our criteria for assessing character and suitability.

Last updated: 6 April 2023

Authorisation of Firms Rules

[<https://www.sra.org.uk/solicitors/standards-regulations/authorisation-firms-rules/>]

Our arrangements for authorising firms, including recognised bodies, licensed bodies and recognised sole practices.

Last updated: 1 December 2023

Authorisation of Individuals Regulations

[<https://www.sra.org.uk/solicitors/standards-regulations/authorisation-individuals-regulations/>]

Our arrangements for authorising individuals as solicitors, RELs and RFLs.

Last updated: 1 December 2023

Education, Training and Assessment Provider Regulations *[<https://www.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/>]*

Our requirements for organisations which provide or intend to provide education and training.

Last updated: 29 August 2023

Financial Services (Scope) Rules

[<https://www.sra.org.uk/solicitors/standards-regulations/financial-services-scope-rules/>]

The scope of the regulated financial services activities that may be undertaken by firms we authorise.

Last updated: 25 November 2019

Financial Services (Conduct of Business) Rules

[\[https://www.sra.org.uk/solicitors/standards-regulations/financial-services-conduct-business-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/financial-services-conduct-business-rules/)

Our rules for firms we authorise who are permitted to undertake regulated financial services activities.

Last updated: 31 December 2020

Overseas and Cross-border Practice Rules

[\[https://www.sra.org.uk/solicitors/standards-regulations/overseas-cross-border-practice-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/overseas-cross-border-practice-rules/)

The standards we, the SRA, expect of regulated individuals and firms when practising overseas.

Last updated: 25 November 2019

Regulatory and Disciplinary Procedure Rules

[\[https://www.sra.org.uk/solicitors/standards-regulations/regulatory-disciplinary-procedure-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/regulatory-disciplinary-procedure-rules/)

How we investigate and take disciplinary and regulatory action.

Last updated: 30 May 2023

Statutory Trust Rules

[\[https://www.sra.org.uk/solicitors/standards-regulations/statutory-trust-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/statutory-trust-rules/)

Our obligations relating to any money we take possession of after an intervention.

Last updated: 25 November 2019

Roll, Registers and Publication Regulations

[\[https://www.sra.org.uk/solicitors/standards-regulations/roll-registers-publication-regulations/\]](https://www.sra.org.uk/solicitors/standards-regulations/roll-registers-publication-regulations/)

The nature and contents of the registers and the roll that we are required to keep.

Last updated: 1 December 2023

Transparency Rules

[\[https://www.sra.org.uk/solicitors/standards-regulations/transparency-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/transparency-rules/)

The information which should be made available to clients and potential clients.

Last updated: 25 November 2019

Indemnity Fund (Enactment) Rules

[<https://www.sra.org.uk/solicitors/standards-regulations/indemnity-fund-enactment-rules/>]

Our rules to enact the indemnity rules.

Last updated: 1 October 2023

Indemnity Fund Rules

[<https://www.sra.org.uk/solicitors/standards-regulations/indemnity-fund-rules/>]

Our rules to govern the operation of the Solicitors Indemnity Fund.

Last updated: 1 October 2023

Compensation Fund Rules

[<https://www.sra.org.uk/solicitors/standards-regulations/compensation-fund-rules/>]

Our rules to govern the way that we operate the SRA Compensation Fund.

Last updated: 5 July 2021

Indemnity Insurance Rules

[<https://www.sra.org.uk/solicitors/standards-regulations/indemnity-insurance-rules/>]

Our indemnity insurance requirements for firms that we authorise.

Last updated: 13 December 2021

SQE Assessment Regulations

[<https://www.sra.org.uk/solicitors/standards-regulations/sqe-assessment-regulations/>]

These Regulations govern the Solicitors Qualifying Examination.

Last updated: 1 June 2022

The prescribed organisations and terms under which Solicitors, RELs and RFLs are allowed to hold client money in their own name

[<https://www.sra.org.uk/solicitors/standards-regulations/hold-client-money/>]

Last updated: 25 November 2019

*The prescribed circumstances in which you can
withdraw client money from client account to pay
to a charity of your choice*

[<https://www.sra.org.uk/solicitors/standards-regulations/withdraw-client-money/>]

Last updated: 25 November 2019

Glossary [<https://www.sra.org.uk/solicitors/standards-regulations/glossary/>]

Definitions of the terms we use in our rules.

Last updated: 1 December 2023