





### SEARCH DECISIONS

## **Important notes**

- Not all regulatory decisions are published—read our <u>guidance on</u> <u>publishing regulatory and disciplinary decisions</u>. We began publishing decisions in early 2008.
- Generally decisions remain on our website for three years.
- For the most up-to-date and complete picture of an individual's regulatory record, please <u>contact us</u>.
- Read more about how we regulate.

## **Solicitors Register**



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# Solicitors Regulation Authority

You should read about the individual types of decision in conjunction with anything you see on a regulatory record and *contact us* for the latest on

that individual or <u>firm</u>, before making any judgements about the individual or firm, as this website cannot give a complete picture of the regulatory record.

## Agreement

• <u>Regulatory settlement agreement</u>

## **Approval denied**

- Withdrawal of authorisation of non-lawyer manager
- <u>Revocation of recognition—recognised bodies</u>
- <u>Authorisation revoked—recognised sole practitioner</u>
- Suspension of PC/registration
- Termination of suspension of PC/registration
- Refusal to grant PC/registration

## Closure



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# Solicitors Regulation Authority

- <u>Approval of employment (section 41)</u>—qualified lawyers
- <u>Approval of employment (section 43)</u>—employees who are not qualified lawyers
- Control of non-qualified employees (section 43)

## Prosecution

- Referral to Solicitors Disciplinary Tribunal (SDT)
- SDT finding
- <u>SDT order</u>

## Sanction

- <u>Fine</u>
- <u>Rebuke</u>



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#### Help with searching



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#### Prosecutions

Our approach to publication

**Recent decisions** 

### For law professionals

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For the public

# Solicitors Regulation Authority

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