

Stephen Peter Grimes Solicitor 132211

Control of practice Date: 23 March 2023

Decision - Control of practice

Outcome: Condition

Outcome date: 23 March 2023

Published date: 11 May 2023

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: Broadbridge Grimes Solicitors

Address(es): 110 Morden Road, London, SW19 3BP, England

Firm ID: 328588

Outcome details

This outcome was reached by SRA decision.

Decision details

Stephen Grime's practising certificate for 2022/2023 has been granted subject to the following conditions:

- 1. Mr Grimes may not be a manager or owner of any authorised body.
- 2. Mr Grimes may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body.
- 3. Mr Grimes does not hold, receive, or have access to client money, or act as a signatory to any client account, or have the power to authorise electronic transfers from any client account.

In these conditions the terms are as defined in the SRA Glossary.

Reasons/basis

The above conditions are necessary in the public interest and reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory



objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

Control of practice Date: 7 July 2022

Decision - Control of practice

Outcome: Condition

Outcome date: 7 July 2022

Published date: 12 September 2022

Firm details

Firm or organisation at date of publication

Name: Pishon Gold Limited

Address(es): Chislehurst Business Centre, 1 Bromley Lane, Chislehurst,

BR7 6LH

Firm ID: 567466

Outcome details

This outcome was reached by SRA decision.

Decision details

Stephen Grimes practising certificate for 2021/2022 has been granted subject to the following conditions:

- 1. Mr Grimes may not be a manager or owner of any authorised body.
- 2. Mr Grimes may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body.
- 3. Mr Grimes does not hold, receive, or have access to client money, or act as a signatory to any client account, or have the power to authorise electronic transfers from any client account.
- 4. In these conditions the terms are as defined in the SRA Glossary.

Reasons/basis

The above conditions are necessary in the public interest and reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.



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