

# Stephen Peter Grimes

## Solicitor

### 132211

*Control of practice Date: 23 March 2023*

#### *Decision - Control of practice*

Outcome: Condition

Outcome date: 23 March 2023

Published date: 11 May 2023

#### *Firm details*

##### **Firm or organisation at time of matters giving rise to outcome**

Name: Broadbridge Grimes Solicitors

Address(es): 110 Morden Road, London, SW19 3BP , England

Firm ID: 328588

#### *Outcome details*

This outcome was reached by SRA decision.

#### *Decision details*

Stephen Grime's practising certificate for 2022/2023 has been granted subject to the following conditions:

1. Mr Grimes may not be a manager or owner of any authorised body.
2. Mr Grimes may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body.
3. Mr Grimes does not hold, receive, or have access to client money, or act as a signatory to any client account, or have the power to authorise electronic transfers from any client account.

In these conditions the terms are as defined in the SRA Glossary.

#### *Reasons/basis*

The above conditions are necessary in the public interest and reasonable and proportionate having regard to the purposes set out in regulation 7 of

the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

*Control of practice* Date: 7 July 2022

### ***Decision - Control of practice***

Outcome: Condition

Outcome date: 7 July 2022

Published date: 12 September 2022

### ***Firm details***

#### **Firm or organisation at date of publication**

Name: Pishon Gold Limited

Address(es): Chislehurst Business Centre, 1 Bromley Lane, Chislehurst,  
BR7 6LH

Firm ID: 567466

### ***Outcome details***

This outcome was reached by SRA decision.

#### ***Decision details***

Stephen Grimes practising certificate for 2021/2022 has been granted subject to the following conditions:

1. Mr Grimes may not be a manager or owner of any authorised body.
2. Mr Grimes may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body.
3. Mr Grimes does not hold, receive, or have access to client money, or act as a signatory to any client account, or have the power to authorise electronic transfers from any client account.
4. In these conditions the terms are as defined in the SRA Glossary.

### ***Reasons/basis***

The above conditions are necessary in the public interest and reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

*Control of practice* Date: 26 June 2021

### ***Decision - Control of practice***

Outcome: Condition

Outcome date: 26 June 2021

Published date: 28 July 2021

### ***Firm details***

#### **Firm or organisation at time of matters giving rise to outcome**

Name: Broadbridge Grimes Solicitors

Address(es): 110 Morden Road LONDON SW19 3BP England

Firm ID: 328588

### ***Outcome details***

This outcome was reached by SRA decision.

#### ***Decision details***

Stephen Grimes' practising certificate for 2020/2021 has been granted subject to the following conditions:

1. Mr Grimes may not be a manager or owner of any authorised body.
2. Mr Grimes may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body.
3. Mr Grimes does not hold, receive or have access to client money, or act as a signatory to any client account, or have the power to authorise electronic transfers from any client account.

In these conditions the terms are as defined in the SRA Glossary.

### ***Reasons/basis***

The above condition is necessary in the public interest and reasonable and proportionate having regard to the purposes set out in Regulation 7 of the SRA Authorisation of Individuals Regulations and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

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