



Keith Harvey and Company
(Keith Harvey and Company)
43 High Street, Market Harborough ,
LE16 7AQ
Recognised body
534673

Fined Date: 22 June 2021

Decision - Fined

Outcome: Fine

Outcome date: 22 June 2021

Published date: 27 July 2021

Firm details

No detail provided:

Outcome details

This outcome was reached by SRA decision.

Decision details

DISCIPLINARY DECISION FOR PUBLICATION

Keith Harvey and Company is a recognised body whose office is at 43 High Street, Market Harborough, Leicestershire, LE16 7AQ.

On 26 June 2017, the Money Laundering, Terrorist Financing and Transfer of Funds (information on the Payer) Regulations 2017 (MLRs 2017) came into force. Law firms who carry out certain work must comply with the MLRs 2017. Those firms must carry out a risk assessment to identify and assess the business' risks of money laundering and terrorist financing (the firm-wide risk assessment).

The SRA asked all those firms to complete a declaration to confirm that they have a compliant anti-money laundering firm-wide risk assessment by 31 January 2020.

Keith Harvey and Company failed to provide the declaration until 16 April 2021 in breach of paragraphs 2.1(a) and 3.3(a) of the SRA Code of Conduct for Firms.

Keith Harvey and Company was directed to pay a penalty of £800 and ordered to pay costs of £600.

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