

# David Anthony Berens Solicitor 138825

Prosecution Date: 9 March 2023

Decision - Prosecution

Outcome: Solicitors Disciplinary Tribunal order

Outcome date: 9 March 2023

Published date: 15 March 2023

## Firm details

## Firm or organisation at time of matters giving rise to outcome

Name: Fuglers (In association with David Berens & Co) LLP

Address(es): 70 Charlotte Street, London NW1T 4QG

Firm ID: 441833

## Firm or organisation at date of publication

Name: Protopapas LLP

Address(es): Queens House, 180 Tottenham Court Road, London NW1T

7PD

Firm ID: 649883

#### Outcome details

This outcome was reached by SRA decision.

#### Reasons/basis

This notification relates to a Decision to prosecute before the Solicitors Disciplinary Tribunal. This is an independent Tribunal which reaches its own decision after considering all the evidence, including any evidence put forward by the Respondent. The Tribunal had certified that there was a case to answer.

On 9 March 2023 the SDT considered and approved an Agreed Outcome.

Mr Berens was fined £7,501 and was made subject to the following restrictions on his practice for an indefinite period:

• Mr Berens shall not act as a manager or owner of any authorised body.



- Mr Berens may not act as a Compliance Officer for Legal Practice (COLP) or Compliance Officer for Finance and Administration (COFA) for any authorised body, or Head of Legal Practice (HOLP) or Head of Finance and Administration (HOFA) in any authorised non-SRA firm.
- Mr Berens does not hold, receive, or have access to client money, or does
  not act as a signatory to any client or office account, or have the power to
  authorise electronic transfers from any client or office account.

The SDT judgment will be available at www.solicitorstribunal.org.uk [http://www.solicitorstribunal.org.uk/]

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