

COLPs and COFAs

Compliance Officers Conference 2019

Our popular full-day Compliance Officers conference took place at Birmingham's ICC on Wednesday 30 October. The free event will be a useful mix of presentations, breakouts and panel sessions, offering compliance officers up-to-date information on key compliance topics. These include:

- Cybercrime and fraud
- Money laundering, including risk assessments and SARs
- Opportunities to work outside a regulated firm
- Our new Standards and Regulations
- Our transparency rules and using the clickable logo
- SQE and legal apprenticeships
- Q&A on our new Accounts Rules
- Q&A on common compliance issues.

View videos and materials from the conference [[/sra/news/events/on-demand-events/colp-cofa-2019/](#)]

Compliance Officers conference 2018

Our annual conference for Compliance Officers took place on 11 December 2018 at the ICC, Birmingham.

Now in its sixth year, this offers keynote presentations, multiple breakout sessions and panels on topics that are both thought-provoking and can support you in your role.

View videos and materials from the conference [[/sra/news/events/on-demand-events/colp-cofa-2018/](#)] Download the compliance conference programme (PDF 4 pages, 587KB) [[/globalassets/documents/solicitors/colp-cofa/colp-programme-2018.pdf?version=49817b](#)]

Compliance Officers for Legal Practice (COLPs) and Compliance Officers for Finance and Administration (COFAs) play a key role in the SRA's regime of outcomes-focused regulation, aimed at producing the most appropriate outcomes for consumers of legal services. The COLP and COFA is instrumental in creating a culture of compliance throughout a firm, becoming its focal point for the identification of risk, and the key point of contact for the SRA.

Through COLPs and COFAs, the SRA is promoting the benefits of closer working between the regulator and those who are regulated.

Please use www.sra.org.uk/complianceofficers to link to this page.