

Crown Gate Law Solicitors
(Crown Gate Law Solicitors)
203 Soho Road, Birmingham , B21
9SX
Recognised body
605826

Fined Date: 24 June 2021

Decision - Fined

Outcome: Fine

Outcome date: 24 June 2021

Published date: 27 July 2021

Firm details

No detail provided:

Outcome details

This outcome was reached by SRA decision.

Decision details

DISCIPLINARY DECISION FOR PUBLICATION

Crown Gate Law Solicitors is a recognised body whose principal office is at 203 Soho Road, Birmingham B21 9SX.

On 26 June 2017, the Money Laundering, Terrorist Financing and Transfer of Funds (information on the Payer) Regulations 2017 (MLRs 2017) came into force. Law firms who carry out certain work must comply with the MLRs 2017. Those firms must carry out a risk assessment to identify and assess the business's risks of money laundering and terrorist financing (the firm-wide risk assessment).

The SRA asked all those firms to complete a declaration to confirm that they have a compliant anti-money laundering firm-wide risk assessment by 31 January 2020.

Crown Gate Law Solicitors failed to provide the declaration until 7 April 2021 in breach of rules 2.1(a) and 3.3(a) of the SRA Code of Conduct for Firms.

Crown Gate Law Solicitors was directed to pay a penalty of £800 and ordered to pay costs of £600.

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