

Douglas Kihiko Wamburu

Solicitor

323162

Prosecution Date: 22 November 2022

Decision - Prosecution

Outcome: Referral to Solicitors Disciplinary Tribunal

Outcome date: 22 November 2022

Published date: 25 May 2023

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: Jesse Douglas & Askells Solicitors

Address(es): 1st Floor Suite, 104 Kingsley House, Gillingham, Kent ME7 4NT

Firm ID: 592180

Outcome details

This outcome was reached by SRA decision.

Reasons/basis

This notification relates to a Decision to prosecute before the Solicitors Disciplinary Tribunal. This is an independent Tribunal which will reach its own decision after considering all the evidence, including any evidence put forward by the Solicitor.

The Tribunal has certified that there is a case to answer in respect of allegations which are or include Mr Wamburu's failure to obtain prior authorisation for Jesse Douglas Solicitors Limited to provide reserved legal activities and failure to notify the SRA of a significant change in the financial circumstances of this company.

It is also alleged that he acted in breach of the SRA Accounts Rules by failing to keep accounting records and undertake reconciliations when they fell due and failed to cooperate with the SRA's investigation into his conduct.

The allegations are subject to a Hearing before the Solicitors Disciplinary Tribunal and are as yet unproven.

Control of practice Date: 26 May 2021

Decision - Control of practice

Outcome: Condition

Outcome date: 26 May 2021

Published date: 28 June 2021

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: Jesse Douglas & Askells Solicitors

Address(es): 1st Floor Suite 104 Kingsley House Gillingham ME7 4NT

Firm ID: 592180

Outcome details

This outcome was reached by SRA decision.

Decision details

Douglas Wamburu's practising certificate for 2020/2021 has been granted subject to the following conditions:

1. Mr Wamburu is not a manager or owner of any authorised body or authorised non-SRA firm.
2. Subject to condition 1. Mr Wamburu may act as a solicitor, only as an employee where the role has first been approved by us.
3. Mr Wamburu does not hold or receive client money, or act as a signatory to any client or office account or have the power to authorise transfers from any client or office account.
4. Mr Wamburu may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body, or head of legal practice (HOLP) or head of finance and administration (HOFA) in any authorised non-SRA firm.

In these conditions the terms are as defined in the SRA Glossary.

Reasons/basis

The above conditions are necessary in the public interest and reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

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