

Temporary emergency authorisation

Apply for temporary emergency approval/authorisation

Updated 25 November 2019

What is this application for?

Firms

You must apply for temporary emergency authorisation as a sole practice or partnership where there is a change of control which brings into being a new unauthorised body or practice. You must notify us within 7 days.

You will need to notify us of the death or incapacity, within seven days of it occurring and submit the application within 28 days.

You must apply for temporary emergency approval of a Compliance Officer. You must notify us within 7 days of your firm ceasing to have a COLP or COFA.

Use www.sra.org.uk/tea to link to this page.