

Memoranda of understanding

Last updated 27 January 2020

To help clarify our role and functions in selected areas of regulatory activity, we have signed memoranda of understanding with other organisations.

For details, please see below.

Memorandum of Understanding between the Judicial Appointments Commission and the SRA

December 2019

Signatories

- Solicitors Regulation Authority
- The Judicial Appointments Commission

Download the memorandum (PDF 7 pages, 197KB) [[globalassets/documents/sra/mou/mou-jac.pdf?version=4902f4](#)]

Memorandum of Understanding between the Gambling Commission and the SRA

July 2019

Signatories

- Solicitors Regulation Authority
- Gambling Commission

Download the memorandum (PDF 8 pages, 214KB) [[globalassets/documents/sra/mou/mou-gambling-commission.pdf?version=4a1ad3](#)]

Memorandum of Understanding between RICS and the SRA

June 2019

Signatories

- Solicitors Regulation Authority
- Royal Institution of Chartered Surveyors

Download the memorandum (PDF 6 pages, 169KB) [[globalassets/documents/sra/mou/mou-rics.pdf?version=4a1ab3](#)]

Memorandum of Understanding between the JCIO and the SRA

June 2019

Signatories

- Solicitors Regulation Authority

- Judicial Conduct Investigations Office

Download the memorandum (PDF 5 pages, 192KB) [[globalassets/documents/sra/mou/mou-jcio.pdf?version=4a1ab3](#)]

Memorandum of Understanding between the IFB and the SRA

May 2019

Signatories

- Solicitors Regulation Authority
- Insurance Fraud Bureau

Download the memorandum (PDF 8 pages, 174KB) [[globalassets/documents/sra/mou/mou-ifb.pdf?version=4a1ad3](#)]

Framework memorandum of understanding to assist the approved regulators of legal services in their regulatory work for the public interest

May 2019

Signatories

- Solicitors Regulation Authority (SRA)
- Bar Standards Board (BSB)
- Council for Licensed Conveyancers (CLC)
- Chartered Institute of Legal Executives (CILEx) Regulation
- Costs Lawyer Standards Board (CLSB)
- The Faculty Office
- The Institute of Chartered Accountants in England and Wales (ICAEW)
- The Intellectual Property Regulation Board (IPReg)

Download the memorandum (PDF 8 pages, 769KB) [[globalassets/documents/sra/mou/mou-framework.pdf?version=4a1acc](#)]

Memorandum of Understanding between the FCA and the SRA

April 2019

Signatories

- Solicitors Regulation Authority
- Financial Conduct Authority

Download the memorandum (PDF 11 pages, 193KB) [[globalassets/documents/sra/mou/mou-fca-2019.pdf?version=4a1ab9](#)]

Memorandum of Understanding between the Information Commissioner and the SRA

March 2019

Signatories

- Solicitors Regulation Authority
- Information Commissioner

Download the memorandum (PDF 10 pages, 646KB) [[globalassets/documents/sra/mou/mou-ico.pdf?version=4a1ab3](#)]

Memorandum of Understanding between the Serious Fraud Office and the SRA

March 2019

Signatories

- Solicitors Regulation Authority
- Serious Fraud Office

Download the memorandum (PDF 6 pages, 1MB) [[globalassets/documents/sra/mou/mou-sfo.pdf?version=4a1ab3](#)]

Memorandum of Understanding between the Office of the Public Guardian and the SRA

February 2019

Signatories

- Solicitors Regulation Authority
- The Office of the Public Guardian

Download the memorandum (PDF 10 pages, 168KB) [[globalassets/documents/sra/mou/mou-opg.pdf?version=4a1aba](#)]

Memorandum of Understanding between The Law Society of Jersey and the SRA

February 2019

Signatories

- Solicitors Regulation Authority
- The Law Society of Jersey

Download the memorandum (PDF 8 pages, 118KB) [[globalassets/documents/sra/mou/mou-lsj.pdf?version=4a1aba](#)]

Memorandum of Understanding between Jersey Financial Services Commission and the SRA

February 2019

Signatories

- Solicitors Regulation Authority
- Jersey Financial Services Commission

Download the memorandum (PDF 8 pages, 232KB) [[globalassets/documents/sra/mou/mou-jfsc.pdf?version=4a1aba](#)]

Memorandum of Understanding between CILEx Regulation and the SRA

June 2018

Signatories

- Solicitors Regulation Authority
- CILEx Regulation

Download the memorandum (PDF 7 pages, 163KB) [[globalassets/documents/sra/mou/mou-cilex.pdf?version=4a1ace](#)]

Memorandum of Understanding between the CLC and the SRA

May 2018

Signatories

- Solicitors Regulation Authority
- Council for Licensed Conveyancers

Download the memorandum (PDF 6 pages, 144KB) [[globalassets/documents/sra/mou/mou-clc.pdf?version=4a1ab3](#)]

Memorandum of Understanding between the CLC and the SRA for switching between approved regulators

December 2017

Signatories

- Solicitors Regulation Authority
- Council for Licensed Conveyancers

Download the memorandum (PDF 10 pages, 202KB) [[globalassets/documents/sra/mou/mou-switching-clc.pdf?version=4a1ac4](#)]

Memorandum of Understanding between the Charity Commission and the SRA

November 2017

Signatories

- Solicitors Regulation Authority
- Charity Commission for England and Wales

Download the memorandum (PDF 18 pages, 469KB) [[globalassets/documents/sra/mou/mou-charity-commission.pdf?version=4a1ac4](#)]

Memorandum of Understanding between the Legal Aid Agency and the SRA

November 2017

Signatories

- Solicitors Regulation Authority
- Legal Aid Agency

Download the memorandum (PDF 7 pages, 158KB) [[globalassets/documents/sra/mou/mou-laa.pdf?version=4a1ac4](#)]

Memorandum of Understanding between the Insolvency Service and the SRA

September 2017

Signatories

- Solicitors Regulation Authority
- The Insolvency Service

Download the memorandum (PDF 7 pages, 92KB) [[globalassets/documents/sra/mou/mou-insolvency.pdf?version=4a1ac4](#)]

Memorandum of Understanding between the Isle of Man FSA and the SRA

September 2017

Signatories

- Solicitors Regulation Authority
- Isle of Man Financial Services Authority

Download the memorandum (PDF 8 pages, 239KB) [[globalassets/documents/sra/mou/mou-iom-fsa.pdf?version=4a1ac5](#)]

Memorandum of Understanding between the GFSC and the SRA

May 2017

Signatories

- Solicitors Regulation Authority
- Gibraltar Financial Services Commission

Download the memorandum (PDF 6 pages, 115KB) [[globalassets/documents/sra/mou/mou-gfsc.pdf?version=4a1acd](#)]

Memorandum of Understanding between the Legal Ombudsman and the SRA

August 2016

Signatories

- Solicitors Regulation Authority
- Legal Ombudsman

Download the memorandum (PDF 5 pages, 143KB) [[globalassets/documents/sra/mou/mou-leo.pdf?version=4a1ad3](#)]

Operational Protocol for the Sharing and Disclosure of Information between the BSB and the SRA

February 2016

Signatories

- Solicitors Regulation Authority
- Bar Standards Board

Download the protocol (PDF 6 pages, 124KB) [[globalassets/documents/sra/mou/mou-bsb-sharing-doi.pdf?version=4a1ab2](#)]

Memorandum of Understanding between the BSB and the SRA

October 2015

Signatories

- Solicitors Regulation Authority
- Bar Standards Board

Download the memorandum (PDF 6 pages, 142KB) [[globalassets/documents/sra/mou/mou-bsb.pdf?version=4a1ab3](#)]

Switching between approved regulators protocols between Solicitors Regulation Authority and Bar Standards Board

February 2018

Signatories

- Solicitors Regulation Authority
- Bar Standards Board

Download the protocol (PDF 4 pages, 1MB) [[globalassets/documents/sra/mou/bsb-sra-switch-protocol.pdf?version=4a1abf](#)]

Switching between approved regulators protocols between Solicitors Regulation Authority and CILEx Regulation

June 2018

Signatories

- Solicitors Regulation Authority
- CILEx Regulation

Download the protocol (PDF 4 pages, 76KB) [[globalassets/documents/sra/mou/switch-cilex.pdf?version=4a1abf](#)]

Memorandum of Understanding between Regulator of Community Interest Companies and the SRA

April 2015

Signatories

- Solicitors Regulation Authority
- Regulator of Community Interest Companies

Download the memorandum (PDF 8 pages, 168KB) [[globalassets/documents/sra/mou/mou-rcic.pdf?version=4a1ab3](#)]

Memorandum of Understanding between the ACPO and the SRA

October 2013

Signatories

- Solicitors Regulation Authority
- The Association of Chief Police Officers of England and Wales

Download the memorandum (PDF 6 pages, 143KB) [[globalassets/documents/sra/mou/mou-acpo.pdf?version=4a1ab3](#)]

Memorandum of Understanding between the FCA and the SRA concerning exempt professional firms and authorised professional firms

March 2013

Signatories

- Solicitors Regulation Authority
- Financial Conduct Authority

Download the memorandum (PDF 12 pages, 155KB) [[globalassets/documents/sra/mou/mou-fca.pdf?version=4a1acb](#)]

Memorandum of Understanding between HMRC and the SRA

January 2013

Signatories

- Solicitors Regulation Authority
- Her Majesty's Revenue and Customs

Download the memorandum (PDF 7 pages, 158KB) [[globalassets/documents/sra/mou/mou-hmrc.pdf?version=4a1ab3](#)]

Memorandum of Understanding between the OISC and the SRA

January 2013

Signatories

- Solicitors Regulation Authority
- Office of the Immigration Services Commissioner

Download the memorandum (PDF 4 pages, 133KB) [[globalassets/documents/sra/mou/mou-oisc.pdf?version=4a1ab3](#)]

Memorandum of Understanding between the Pensions Regulator and the SRA

March 2012

Signatories

- Solicitors Regulation Authority
- The Pensions Regulator

Download the memorandum (PDF 12 pages, 229KB) [[globalassets/documents/sra/mou/mou-pensions-regulator.pdf?version=4a1ab3](#)]