

Anti-money laundering: matter risk assessments

24 May 2021

Keeping money launderers out of legal services is critical for the solicitors we supervise and to protect the general public. If firms have not fully assessed the risks present for a particular client or matter, they cannot understand and manage their risk.

This webinar explores the importance of matter risk assessments. We will be discussing the requirements of the money laundering regulations, the ways in which matter risk assessments can help protect your practice, and relevant observations from our inspection of firms' AML controls.

Download slides as PPT (PPT 13 pages, 511KB)

[<https://www.sra.org.uk/globalassets/documents/sra/news/events/2021/aml-matter-risk-assessments.pptx?version=49ba08>]

Download slides as PDF (PDF 13 pages, 244KB)

[<https://www.sra.org.uk/globalassets/documents/sra/news/events/2021/aml-matter-risk-assessments.pdf?version=49ba08>]

Resources

Legal Sector Affinity Group Guidance

[<https://www.sra.org.uk/globalassets/documents/solicitors/firm-based-authorisation/lsg-aml-guidance.pdf?version=496f8e>]

Anti-Money Laundering Visits 2019-2020 [<https://www.sra.org.uk/sra/how-we-work/archive/reports/anti-money-laundering-visits-2019-2020/>]