



## **[Index of SRA Standards and Regulations](#)**

<https://www.sra.org.uk/solicitors/standards-regulations/index/>

The index will help you find where various themes occur across the Standards and Regulations.

## **[Archive: SRA Handbook](#)**

<https://www.sra.org.uk/solicitors/handbook/>

The SRA Handbook was replaced by the SRA Standards and Regulations on 25 November 2019.

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Action:

**[Principles](#)** <https://www.sra.org.uk/solicitors/standards-regulations/principles/>

The fundamental tenets of ethical behaviour that we, the SRA, expect of those that we regulate.

Last updated: 11 April 2025

**[SRA Code of Conduct for Solicitors, RELs, RFLs and RSLs](#)** <https://www.sra.org.uk/solicitors/standards-regulations/code-conduct-solicitors/>

The Code of Conduct describes the standards of professionalism that we, the SRA, and the public expect of individuals (solicitors, registered European lawyers, registered foreign lawyers and registered Swiss lawyers) authorised by us to provide legal services.

Last updated: 11 April 2025

**[Code of Conduct for Firms](#)** <https://www.sra.org.uk/solicitors/standards-regulations/code-conduct-firms/>

The standards and business controls that we, the SRA, and the public expect of firms (including sole practices).

Last updated: 11 April 2025

**[Accounts Rules](#)** <https://www.sra.org.uk/solicitors/standards-regulations/accounts-rules/>

The SRA Accounts Rules are our requirements for when firms (including sole practices) authorised by us receive or deal with money belonging to



clients.

Last updated: 25 November 2019

### **Application, Notice, Review and Appeal Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/application-notice-review-appeal-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/application-notice-review-appeal-rules/)

Our processes for handling applications made to us and the process for internal reviews and external appeals against our decisions.

Last updated: 11 April 2025

### **Assessment of Character and Suitability Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/assessment-character-suitability-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/assessment-character-suitability-rules/)

Our criteria for assessing character and suitability.

Last updated: 11 April 2025

### **Authorisation of Firms Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/authorisation-firms-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/authorisation-firms-rules/)

Our arrangements for authorising firms, including recognised bodies, licensed bodies and recognised sole practices.

Last updated: 1 December 2023

### **Authorisation of Individuals Regulations**

[\[https://www.sra.org.uk/solicitors/standards-regulations/authorisation-individuals-regulations/\]](https://www.sra.org.uk/solicitors/standards-regulations/authorisation-individuals-regulations/)

Our arrangements for authorising individuals as solicitors, RELs and RFLs.

Last updated: 11 April 2025

### **Education, Training and Assessment Provider Regulations**

[\[https://www.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/\]](https://www.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/)

Our requirements for organisations which provide or intend to provide education and training.

Last updated: 29 August 2023

### **Financial Services (Scope) Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/financial-services-scope-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/financial-services-scope-rules/)



The scope of the regulated financial services activities that may be undertaken by firms we authorise.

Last updated: 11 April 2025

### **Financial Services (Conduct of Business) Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/financial-services-conduct-business-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/financial-services-conduct-business-rules/)

Our rules for firms we authorise who are permitted to undertake regulated financial services activities.

Last updated: 11 April 2025

### **Overseas and Cross-border Practice Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/overseas-cross-border-practice-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/overseas-cross-border-practice-rules/)

The standards we, the SRA, expect of regulated individuals and firms when practising overseas.

Last updated: 11 April 2025

### **Regulatory and Disciplinary Procedure Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/regulatory-disciplinary-procedure-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/regulatory-disciplinary-procedure-rules/)

How we investigate and take disciplinary and regulatory action.

Last updated: 11 April 2025

### **Statutory Trust Rules** [\[https://www.sra.org.uk/solicitors/standards-regulations/statutory-trust-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/statutory-trust-rules/)

Our obligations relating to any money we take possession of after an intervention.

Last updated: 25 November 2019

### **Roll, Registers and Publication Regulations**

[\[https://www.sra.org.uk/solicitors/standards-regulations/roll-registers-publication-regulations/\]](https://www.sra.org.uk/solicitors/standards-regulations/roll-registers-publication-regulations/)

The nature and contents of the registers and the roll that we are required to keep.

Last updated: 11 April 2025

### **Transparency Rules** [\[https://www.sra.org.uk/solicitors/standards-regulations/transparency-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/transparency-rules/)



The information which should be made available to clients and potential clients.

Last updated: 11 April 2025

### **Indemnity Fund (Enactment) Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/indemnity-fund-enactment-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/indemnity-fund-enactment-rules/)

Our rules to enact the indemnity rules.

Last updated: 11 April 2025

### **Indemnity Fund Rules** [\[https://www.sra.org.uk/solicitors/standards-regulations/indemnity-fund-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/indemnity-fund-rules/)

Our rules to govern the operation of the Solicitors Indemnity Fund.

Last updated: 11 April 2025

### **Compensation Fund Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/compensation-fund-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/compensation-fund-rules/)

Our rules to govern the way that we operate the SRA Compensation Fund.

Last updated: 11 April 2025

### **Indemnity Insurance Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/indemnity-insurance-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/indemnity-insurance-rules/)

Our indemnity insurance requirements for firms that we authorise.

Last updated: 11 April 2025

### **SQE Assessment Regulations**

[\[https://www.sra.org.uk/solicitors/standards-regulations/sqe-assessment-regulations/\]](https://www.sra.org.uk/solicitors/standards-regulations/sqe-assessment-regulations/)

These Regulations govern the Solicitors Qualifying Examination.

Last updated: 1 June 2022

### **SRA Claims Management Fees Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/claims-management-fees-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/claims-management-fees-rules/)

Our rules to protect consumers from excessive charges when they are represented by solicitors in claims relating to financial products and



services.

Last updated: 26 July 2024

**The prescribed organisations and terms under which Solicitors, RELs and RFLs are allowed to hold client money in their own name**

[\[https://www.sra.org.uk/solicitors/standards-regulations/hold-client-money/\]](https://www.sra.org.uk/solicitors/standards-regulations/hold-client-money/)

Last updated: 25 November 2019

**The prescribed circumstances in which you can withdraw client money from client account to pay to a charity of your choice** [\[https://www.sra.org.uk/solicitors/standards-regulations/withdraw-client-money/\]](https://www.sra.org.uk/solicitors/standards-regulations/withdraw-client-money/)

Last updated: 25 November 2019

**Glossary** [\[https://www.sra.org.uk/solicitors/standards-regulations/glossary/\]](https://www.sra.org.uk/solicitors/standards-regulations/glossary/)

Definitions of the terms we use in our rules.

Last updated: 11 April 2025