

Simon Lee Rosenthal

Solicitor

425144

Control of practice Date: 14 December 2023

Decision - Control of practice

Outcome: Condition

Outcome date: 14 December 2023

Published date: 25 January 2024

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: Ward Legal (UK) Limited

Address(es): Wyke Chambers, 7 Silver Street, Hull, HU1 1HT

Firm ID: 597336

Firm or organisation at date of publication

Name: Dawson and Burgess

Address(es): 3 South Parade, Hall Cross Hill, DONCASTER, DN1 2DZ

Firm ID: 425144

Outcome details

This outcome was reached by SRA decision.

Decision details

Simon Rosenthal's practising certificate for the practice year 2023/2024 is subject to the following conditions:

- Mr Rosenthal is not a sole manager or sole owner of an authorised body.
- Mr Rosenthal may not practise on his own account under Regulation 10.2(a) or (b) of the SRA Authorisation of Individuals Regulations.

In these conditions the terms are as defined in the SRA Glossary.

Reasons/basis

The above conditions are necessary in the public interest. They are reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

Control of practice Date: 14 March 2023

Decision - Control of practice

Outcome: Condition

Outcome date: 14 March 2023

Published date: 11 May 2023

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: Ward Legal (UK) Limited

Address(es): Wyke Chambers, 7 Silver Street, Hull, HU1 1HT

Firm ID: 597336

Firm or organisation at date of publication

Name: Austin Kemp Solicitors Limited

Address(es): St Andrew House, The Headrow, Leeds , LS1 5JW

Firm ID: 513203

Outcome details

This outcome was reached by SRA decision.

Decision details

Simon Rosenthal's practising certificate for 2022/2023 has been granted subject to the following conditions:

1. Mr Rosenthal is not a sole manager or sole owner of an authorised body.
2. Mr Rosenthal may not practise on his own account under Regulation 10.2(a) or (b) of the SRA Authorisation of Individuals Regulations.

In these conditions the terms are as defined in the SRA Glossary.

Reasons/basis

The above conditions are necessary in the public interest and reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

Control of practice Date: 25 May 2022

Decision - Control of practice

Outcome: Condition

Outcome date: 25 May 2022

Published date: 29 June 2022

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: Ward Legal (UK) Limited

Address(es): Wyke Chambers, 7 Silver Street, Hull, HU1 1HT, England

Firm ID: 597336

Firm or organisation at date of publication

Name: Austin Kemp Solicitors Limited

Address(es): St Andrew House, The Headrow, Leeds, LS1 5JW, England

Firm ID: 513203

Outcome details

This outcome was reached by SRA decision.

Decision details

Simon Rosenthal's practising certificate for 2021/2022 has been granted subject to the following conditions:

1. Mr Rosenthal is not a sole manager or sole owner of an authorised body.
2. Mr Rosenthal may not practise on his own account under Regulation 10.2(a) or (b) of the SRA Authorisation of Individuals Regulations.

In these conditions the terms are as defined in the SRA Glossary.

Reasons/basis

The above conditions are necessary in the public interest and reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

Control of practice Date: 18 June 2021

Decision - Control of practice

Outcome: Condition

Outcome date: 18 June 2021

Published date: 20 July 2021

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: Ward Legal (UK) Limited

Address(es): Wyke Chambers 7 Silver Street HULL HU1 1HT England

Firm ID: 597336

Firm or organisation at date of publication

Name: AUSTIN KEMP SOLICITORS LIMITED

Address(es): St Andrew House The Headrow Leeds LS1 5JW England

Firm ID: 513203

Outcome details

This outcome was reached by SRA decision.

Decision details

Simon Rosenthal's practising certificate for 2020/2021 has been granted subject to the following conditions:

1. Mr Rosenthal is not a manager or owner of an authorised body.
2. Mr Rosenthal may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and

administration (COFA) for any sole practitioner, authorised body or authorised non-SRA firm.

3. Mr Rosenthal may not practise on his own account under Regulation 10.2(a) or (b) of the SRA Authorisation of Individuals Regulations.

In these conditions the terms are as defined in the SRA Glossary.

Reasons/basis

The above condition is necessary in the public interest and reasonable and proportionate having regard to the purposes set out in Regulation 7 of the SRA Authorisation of Individuals Regulations and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

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