

## Baron Grey Limited (Baron Grey Solicitors)

Langtry House, 441 Richmond Road,  
Twickenham, , TW1 2EF

Recognised body  
382230

*Fined Date: 21 July 2022*

### *Decision - Fined*

Outcome: Fine

Outcome date: 21 July 2022

Published date: 5 August 2022

### *Firm details*

#### **Firm or organisation at date of publication**

Name: Baron Grey Limited

Address(es): Langtry House, 441 Richmond Road, Twickenham, TW1 2EF

Firm ID: 382230

### *Outcome details*

This outcome was reached by SRA decision.

#### *Decision details*

Baron Grey Limited is a recognised body whose office is at 441 Richmond Road, Twickenham, TW1 2EF.

It was found that:

Baron Grey Limited failed to have in place, contrary to Regulation 18(4) of Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (MLRs 2017), a documented and compliant firm-wide risk assessment between 26 June 2017 and 9 November 2021.

In doing so the firm:

- i. breached Principles 6 and 8 of the SRA Principles 2011, and
- ii. failed to achieve Outcome 7.5 of the SRA Code of Conduct 2011 and where the conduct occurred after 25 November

2019

- iii. breached Principle 2 of the SRA Principles, and
- iv. breached Paragraph 2.1(a) of the SRA Code of Conduct for Firms

The firm was directed to pay a penalty of £1,200 and ordered to pay costs of £600. SRA Principles 2011 Principle 6 You must behave in a way that maintains the trust the public places in you and in the provision of legal services

Principle 8 You must run your business or carry out your role in the business effectively and in accordance with proper governance and sound financial and risk management principles

SRA Code of Conduct 2011

Outcome 7.5 You comply with legislation applicable to your business, including anti-money laundering and data protection legislation

SRA Principles 2019

Principle 2 You act in a way that upholds public trust and confidence in the solicitors' profession and in legal services provided by authorised persons.

Code of Conduct for Firms (2019)

Paragraph 2.1 You have effective governance structures, arrangements, systems, and controls in place that ensure:

- a. you comply with all the SRA's regulatory arrangements, as well as with other regulatory and legislative requirements, which apply to you.

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